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3.23 Ownership and Management of Intellectual Property

Syracuse University is dedicated to teaching, research, and the dissemination of knowledge. When these activities have been supported by the University and have resulted in the creation of properties that have economic interest and value, Syracuse University will retain title to, or have a fair and equitable income interest proportional to the University's investment in, those properties that will reflect the legitimate interest of University investment as well as the traditions of academic freedom and pursuit.

Intellectual Property (IP) Considerations for Falk College Faculty, Researchers and Instructors

Technology Transfer – it's a contact sport

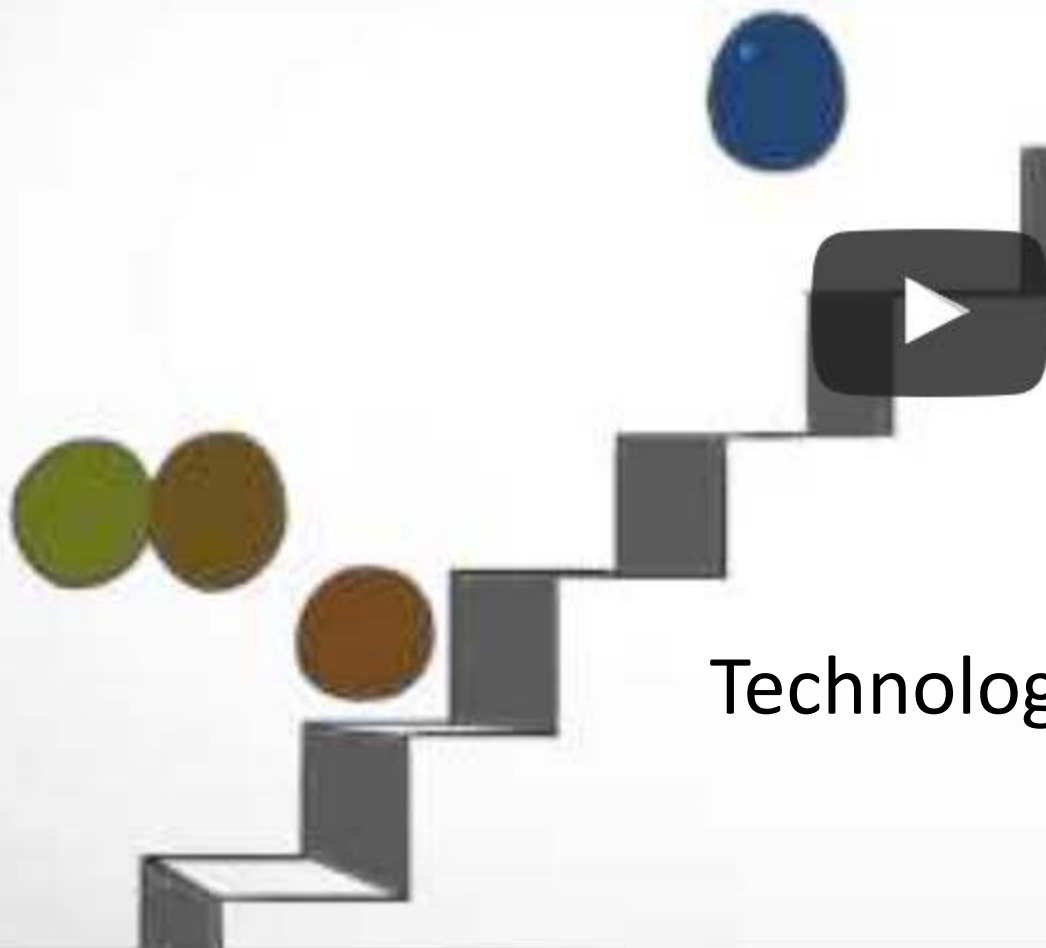
In presenting today, building new relationships and enhancing existing ones, one goal is to foster an understanding of IP in the context of research universities.

Benefits may include:

- Increase the number of disclosures
- Increase the number of “TRUE” disclosures (less false positives, erroneously believed to be patentable but not)
- Avoiding intellectual property pitfalls in your research

About Technology Transfer

<https://youtu.be/ygX5zcU5vdg>



Technology Transfer: at it's core....

Past collaboration between Hospitality and College of Engineering

Products

- TempTrak
- NotifEye
- HACCP Manager Enterprise
- HACCP Manager
- Blue2
- Wireless
- Thermocouples
- Thermocouple Probes
- Thermistors
- Thermistor Probes
- MFM

TempTrak



TempTrak® Enterprise Wireless Monitoring is one of the most exciting innovations in the industry today, and is installed in more locations than all other manufacturers...combined!

TempTrak offers 24/7 remote monitoring and runs on a wide range of systems supported by a broad range of hardware. Our system is fully BACnet compatible and integrates seamlessly with your Building Management System. With new TempTrak [EZLink](#) you can also view, acknowledge and clear TempTrak alarms at your fingertips via your mobile device!

Rapi-Kool® Cold Paddles

Rapi-Kool® Cold Paddles from San Jamar are an affordable and efficient way to quickly and safely cool soups, stews and other hot liquids. The paddles work by chilling foods from the inside-out, minimizing time-temperature abuse and bacterial growth. Keep several on hand; fill with water and freeze ahead for use when you need it. Rapi-Kool® Cold Paddles are dishwasher safe and NSF Certified. Optional accessories include handy hanging brackets and a laminated wall chart.

FEATURES / BENEFITS

- Quickly chills food from the inside-out
- Smooth exterior makes it easy to remove foods, reducing waste
- Easy to clean and sanitize
- Dishwasher safe and NSF Certified
- Durable, food-safe plastic construction



RCU256



RCU64



RCU128



RCU256



Intellectual Property at SU

Reporting lines, as of 2016:

Office of the Vice Chancellor and Provost

Office of Research

Office of Technology Transfer

Sister units with shared interest in IP most often include:

- Office of Sponsored Programs
- *and, under the Advancement/Development umbrella* -
- Office of Corporate and Foundation Relations

Other influences:

Working Group 2 (Research/Discover) of the
Academic Strategic Plan/Implementation Group

Technology Transfer – improve overall understanding of IP among SU faculty
(while recognizing & there are many categories of audiences)

Identifying inventions in a variety of departments and colleges and showcasing the process and outcome within the department

Discuss examples

The SU Intellectual Property (IP) Policy

3.23 Faculty Manual: Ownership and Management of Intellectual Property

KEY POINTS – IP at SU

- When there is external (“sponsored”) funding, IP created in that context is usually owned by SU (more on this....)
- Software is a unique class of copyright that, under the SU IP policy, has different rules associated with it than the non-software copyright categories
- Scholarly work, unless sponsored, will generally be owned by the author
- For patentable technologies, SU will generally own the rights of faculty and researchers...
 - The faculty/researcher/employee has a duty to report the information so that it can be evaluated and managed
 - Timeliness of reporting is essential

The SU Intellectual Property (IP) Policy

3.23 Faculty Manual: Ownership and Management of Intellectual Property

KEY POINTS – IP at SU

- For patentable technologies, SU will generally own the rights of faculty and researchers...
 - sharing information without confidentiality can
 - extinguish foreign patenting rights and
 - start a one-year clock to patent in the U.S.
 - and that is why it is critical to report possibly patentable technologies to the Office of Technology Transfer well in advance of a publication, conference presentation, etc.

The SU Intellectual Property (IP) Policy

3.23 Faculty Manual: Ownership and Management of Intellectual Property

DID YOU KNOW?

IP EXPECTATIONS REGARDING RIGHTS IN THE IP VARY DEPENDING ON THE TYPE OF SPONSOR

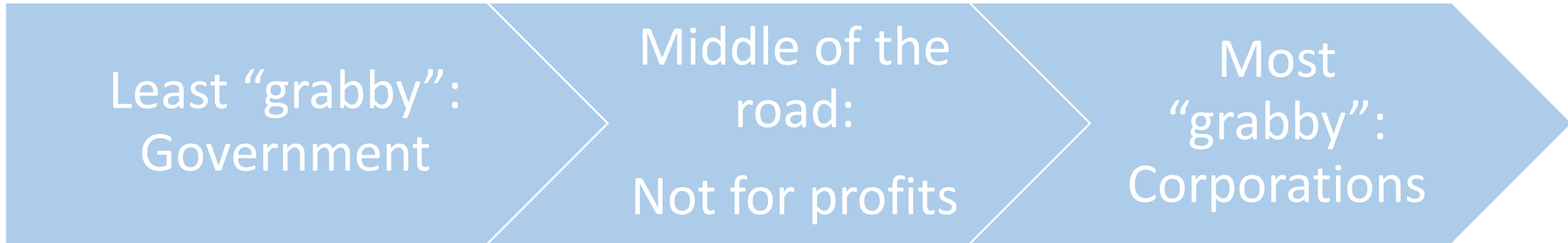
Least “grabby”:
Government

*see note on bottom right of slide

Middle of the
road:
Not for profits

Most
“grabby”:
Corporations

NOTE: Federal Government contracts (not grants) tend to be more grabby than grants



Since expectations regarding rights in IP vary depending on the type of sponsor, beware of superimposing your knowledge of working with one type of sponsor onto one falling into another category

Read the RFP, and the contract.....

The SU Intellectual Property (IP) Policy

DID YOU KNOW?

Universities also have a spectrum of “grabiness” as to ownership of student intellectual property



Least “grabby”

·
·
·

Most “grabby”

As such, how one university handles student IP matters may not be appropriate at another university, solely on the basis of the difference in their IP policies.

The SU Intellectual Property (IP) Policy

3.23 Faculty Manual: Ownership and Management of Intellectual Property

STUDENTS AND EXPERIENTIAL LEARNING

- SU is fairly “hands-off” (generally doesn’t own) undergraduate student created intellectual property
 - Keep this in mind in the context of competitions and experiential learning



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View the annotated version of the policy at the
 Syracuse University Office of Technology Transfer Website
<http://techtransfer.syr.edu/Docs/IP%20policy%20with%20emphasis%20added.pdf>

[Faculty, Staff, Student-Staff, etc.] will communicate promptly and fully with The Office of Technology Transfer and Industrial Development whenever their research involves technology [i.e. patentable or possibly patentable] inventions covered by the [policy on ownership and management of intellectual property]

Technology Transfer

Beyond a university licensing university-owned IP to industry, **knowledge transfer can** occur in a variety of ways, including

- Collaborations
- Graduates securing employment in industry or government research or leadership settings

Rules of thumb: SU Office of Technology Transfer functions as

- “Keeper” of University IP policy and adviser/arbiter of same
- Unit charged with fiduciary responsibilities as to protecting (or releasing) and contracting (for monetization, dissemination, etc.) of the **University owned** asset
 - if it is not University owned, as is often true of undergraduates’ intellectual property technologies or assets, then SU cannot assert rights to it (without a separate contract), and therefore has limited to no mission-driven purpose for advising on or managing undergraduate owned intellectual property

Syracuse University Office
of Technology Transfer

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